

Certificate of Approval

This is to certify that the Management System of:

ADCB Securities L.L.C.

5th Floor, ADCB Head Office, Salaam Street, Abu Dhabi, United Arab Emirates

has been approved by LRQA to the following standards:

ISO 9001:2015

Basem Obaid - Global Head of Training and Improvement Services

Issued by: Lloyd's Register Quality Assurance Limited

Current issue date: 17 September 2018

Expiry date: 8 March 2019

Certificate identity number: 10132957

Original approval(s):

ISO 9001 - 9 March 2010

Approval number(s): ISO 9001 - 0045914

The scope of this approval is applicable to:

Provision of trading and other brokerage services in both local markets and NASDAQ Dubai across the UAE.

